



10/ 666367

Cofe

## In the United States Patent and Trademark Office

Patent No. US 6,998,086 B2  
Issued: February 14, 2006  
Inventor: Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott; Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba  
Title: MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD

### REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. §1.322(a))

ATTN: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
MAR 08 2007  
**of Correction**

### CERTIFICATE OF TRANSMISSION

I hereby certify that the attached correspondence comprising:

**Request For Certificate Of Correction Of Patent For PTO  
Mistake (37 C.F.R. §1.322(a)) – 1 page**

**Certificate of Correction (in duplicate) – 2 pages**

**Transmittal Letter dated September 19, 2003 – 2 pages**

**Combined Declaration and Power of Attorney – 5 pages**

**Certificate of Transmission with attachment "Request For  
Corrected Filing Receipt" (Stamped by USPTO on January 14,  
2004) – 3 pages**

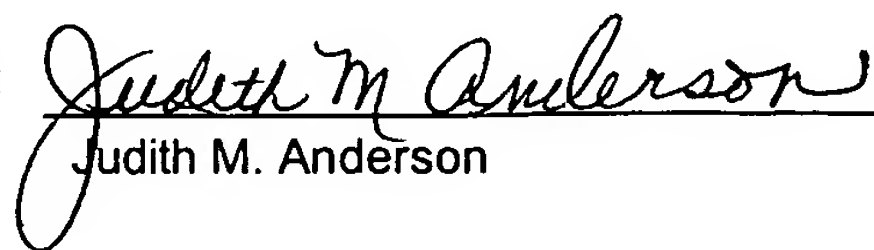
**15 total pages, including these pages**

MAR - 8 2007

CERTIFICATE OF MAILING

I, Judith M. Anderson, hereby certify that on March 2, 2007 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

  
Judith M. Anderson

MAR - 8 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. US 6,998,086 B2  
Issued: February 14, 2006  
Inventor: Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott; Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba  
Title: MULTI-SEGMENTED EMBOSsing APPARATUS AND METHOD

**REQUEST FOR CERTIFICATE OF CORRECTION OF  
PATENT FOR PTO MISTAKE (37 C.F.R. §1.322(a))**

ATTN: Certificate of Correction Branch

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

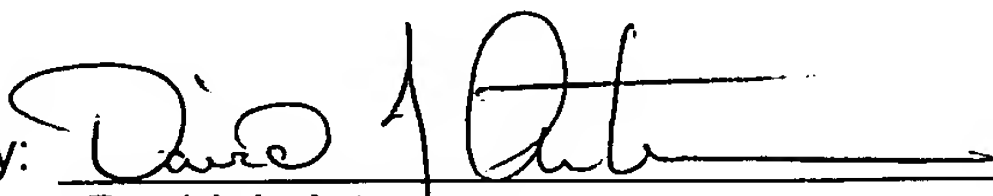
1. Attached in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.
2. Please send the Certificate to David J. Arteman at Kimberly-Clark Worldwide, Inc., 401 North Lake Street, Neenah, WI 54956.
3. It is believed no fee is required since the correction is due to PTO mistake. If a fee is required, please charge to Account No. 11-0875. The relevant facts are summarized below.

- Yung-Kun Chen signed page 5 of the "Combined Declaration and Power of Attorney" that was submitted with the utility patent application designated 10/666367 by the USPTO and granted as U.S. Patent 6,998,086. Application 10/666367 was received and stamped by the USPTO on 09/19/03 and is available via PAIR. A copy of the transmittal letter and the "Combined Declaration and Power of Attorney" showing Yung-Kun Chen's signature is also attached herewith.
- The Filing Receipt did not list Yung-Kun Chen as an inventor. A "Request for Corrected Filing Receipt" to correct this mistake was received by the USPTO on January 14, 2004 but generated no response. A copy of the request is available via PAIR and a copy is attached herewith.
- Inventor Yung-Kun Chen was not named as an inventor on U.S. Patent 6,998,086.

Therefore, the mistake is clearly disclosed in the records of the Office and the assignee requests correction pursuant to 37 CFR 1.322 and 35 U.S.C. 254.

Respectfully submitted,

KIMBERLY-CLARK WORLDWIDE, INC.  
401 North Lake Street  
Neenah, Wisconsin 54956

By:   
David J. Arteman  
Attorney for Assignee  
Registration No. 44,512  
K-C Docket No.: 18715  
Date: March 2, 2007  
Telephone: (920) 721-3016

MAR - 8 2007

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US 6,998,086 B2

DATED : February 14, 2006

INVENTOR(S) : Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott;  
Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, in the Inventor Section, after

-- Rodney Lawrence Abba, Oshkosh, WI (US) --

Insert

-- Yung-Kun Chen, Hsichih Hsiang (Taiwan, Republic of China) --

MAILING ADDRESS OF SENDER:

Kimberly-Clark Worldwide, Inc.  
401 N. Lake Street  
Neenah, WI 54956

PATENT NO. 6,998,086 B2

No. of additional copies

➡ 1

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MAR - 8 2007

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US 6,998,086 B2

DATED : February 14, 2006

INVENTOR(S) : Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott;  
Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, in the Inventor Section, after

-- Rodney Lawrence Abba, Oshkosh, WI (US) --

Insert

-- Yung-Kun Chen, Hsichih Hsiang (Taiwan, Republic of China) --

MAILING ADDRESS OF SENDER:

Kimberly-Clark Worldwide, Inc.  
401 N. Lake Street  
Neenah, WI 54956

PATENT NO. 6,998,086 B2 .....

No. of additional copies

➡ 1

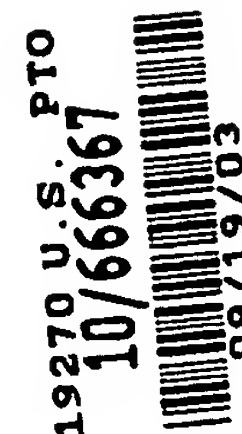
Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8 2007

**In the United States Patent and Trademark Office**

Applicants: Jesse James Pasterski; Wen Tong  
Lay; Marcus David Weiher; Michael  
John Bott; Andrew Michael Lake;  
Shelley Rae Rasmussen; Rodney  
Lawrence Abba; Yung-Kun Chen

Docket No.: 18715



Filed: Herewith Date: September 19, 2003  
For: "MULTI-SEGMENTED EMBOSsing APPARATUS AND METHOD"  
Express Mail EU838790512US  
Label No.:

**Transmittal Letter**

**New Utility Patent Application under 37 C.F.R. 1.53(b)**

**Plural Inventors**

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CUSTOMER  
NUMBER  
23556

Dear Sir:

Transmitted herewith for filing is the above-identified new utility patent application.

Enclosed are:

1. This Transmittal Form including Fee Authorization and a duplicate for fee processing.
2. A Specification having a total of 31 pages, including a one (1) page Abstract and Twenty (20) claims.
3. Fifteen (15) sheets of drawings.
4. A Combined Declaration and Power of Attorney.
5. An assignment of this invention, including a 37 CFR 3.73(b) statement, to Kimberly-Clark Worldwide, Inc. and completed Form PTO 1595 Recordation Form Cover Sheet. Please charge the \$40.00 assignment recording fee, pursuant to 37 C.F.R. 1.21(h), to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.
6. And, if indicated:
  - ☐ A preliminary amendment.
  - ☐ An Information Disclosure Statement, PTO 1449 and copies of the documents (excluding pending applications) cited therein. Pending applications are recited on a separate sheet to avoid their being printed on the face of any patent issuing herefrom.

The filing fee, pursuant to 37 C.F.R. 1.16(a), (b), (c) and (d), has been calculated as follows:

	Number Filed		Number Extra	Rate	Fee
Total Claims	20	-20 =	0	x 18 (fee code 1202) =	\$0
Independent Claims	4	-3 =	1	x 84 (fee code 1201) =	\$84.00
First Presentation of Multiple Dependent Claims				+ 280 (fee code 1203) =	
				Base Fee (fee code 1001)	\$750.00
			TOTAL		\$834.00

Please charge Kimberly-Clark Worldwide, Inc. deposit account number 11-0875 for (1) the filing fee indicated in the TOTAL above; and (2) any patent application processing fees required under 37 C.F.R. 1.17. In the event the filing fee indicated in the TOTAL above has been incorrectly calculated, please charge any additional filing fee or credit any excess in the filing fee to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

Please address all correspondence to the correspondence address associated with Customer Number 23556.

The undersigned may be reached at telephone number (920) 721-2435 or fax number (920) 721-0279.

Respectfully submitted,

JESSE J. PASTERSKI ET AL.

By: Paul Yee  
Paul Y. Yee  
Registration No.: 29,460

#### CERTIFICATE OF MAILING

I, Judith M. Anderson, hereby certify that on September 19, 2003 this document is being deposited with the United States Postal Service, postage prepaid, Express Mail No. EU 838790512 US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Judith M. Anderson  
Judith M. Anderson

**In the United States Patent and Trademark Office**

Applicants:	Jesse J. Pasterski et al.	Docket No.:	18715
Serial No.:	Unknown	Group:	Unknown
Confirmation No:	Unknown	Examiner:	Unknown
Filed:	Herewith		

For: "MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD"  
Express Mail EU 838790512 US

**Combined Declaration and Power of Attorney  
Original U.S. Patent Application  
Joint Inventors**

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CUSTOMER  
NUMBER  
23556

Sir:

As the below-named inventors, we hereby declare that:

Our respective residence addresses, post office addresses and citizenship designations are as stated below, next to our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought in the patent application entitled:

**"MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD"**

the specification of which is attached hereto.

We hereby state that each of us has reviewed and understands the contents of the above-identified specification, including the claims and any accompanying drawings as amended by any amendment specifically referred to in the oath or declaration.

We acknowledge our duty to disclose all information which is material to the patentability of this application as defined by 37 C.F.R. 1.56.

As the named inventors, we hereby appoint the attorneys and/or agents associated with Customer Number 23556 to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

We direct that all correspondence be addressed to the correspondence address associated with Customer Number 23556.

Our representative may be reached at: (920) 721-2435.

We hereby declare that:

1. All statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and



2. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

First Named Inventor's Full Name:

Jesse James Pasterski

First Named Inventor's Signature:

Jesse James Pasterski

Date of Execution of this Document by First Named Inventor:

Date: 9-8-03

First Named Inventor's Country of Citizenship:

United States of America

First Named Inventor's Residence Address:

N1041 Pebble Ridge Road  
Greenville, WI 54942

First Named Inventor's Post Office Address:

Same as Residence Address

Second Named Inventor's Full Name:

Wen Tong Lay

Second Named Inventor's Signature:

Wen Tong Lay

Date of Execution of this Document by Second Named Inventor:

Date: 9/10/03

Second Named Inventor's Country of Citizenship:

Taiwan, Republic of China

Second Named Inventor's Residence Address:

76 Bellevue Place  
Appleton, WI 54913

Second Named Inventor's Post Office Address:

Same as Residence Address

Third Named Inventor's Full Name:

Marcus David Weiher

Third Named Inventor's Signature:

Marcus David Weiher

Date of Execution of this Document by Third Named Inventor:

Date: 9/11/03

Third Named Inventor's Country of Citizenship:

United States of America

Third Named Inventor's Residence Address:

W4851 Black Cherry Court  
Sherwood, WI 54169

Third Named Inventor's Post Office Address:

Same as Residence Address

Fourth Named Inventor's Full Name:

Michael John Bott

Fourth Named Inventor's Signature:

Michael John Bott

Date of Execution of this Document by Fourth Named Inventor:

Date: 9/11/03

Fourth Named Inventor's Country of Citizenship:

United States of America

Fourth Named Inventor's Residence Address:

385 Sunnybrook Drive  
Oshkosh, WI 54904

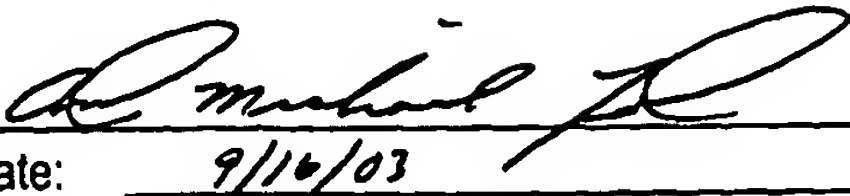
Fourth Named Inventor's Post Office Address:

Same as Residence Address

Fifth Named Inventor's Full Name:

Andrew Michael Lake

Fifth Named Inventor's Signature:



Date of Execution of this Document by Fifth Named Inventor:

Date: 9/16/03

Fifth Named Inventor's Country of Citizenship:

United States of America

Fifth Named Inventor's Residence Address:

101 East Kennedy Avenue

Kimberly WI 54136

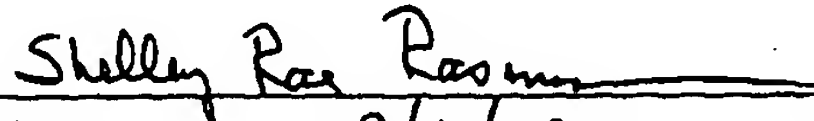
Fifth Named Inventor's Post Office Address:

Same as Residence Address

Sixth Named Inventor's Full Name:

Shelley Rae Rasmussen

Sixth Named Inventor's Signature:



Date of Execution of this Document by Sixth Named Inventor:

Date: 9/11/03

Sixth Named Inventor's Country of Citizenship:

United States of America

Sixth Named Inventor's Residence Address:

3297 Creek Side Drive

Oshkosh, WI 54904

Sixth Named Inventor's Post Office Address:

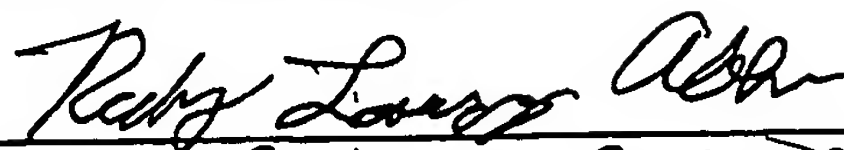
Same as Residence Address

MAR - 8 2007.

Seventh Named Inventor's Full Name:

Rodney Lawrence Abba

Seventh Named Inventor's Signature:



Date of Execution of this Document by Seventh Named Inventor:

Date: September 9, 2003

Seventh Named Inventor's Country of Citizenship:

United States of America

Seventh Named Inventor's Residence Address:

3645 Shangrila Point

Oshkosh, WI 54904

Seventh Named Inventor's Post Office Address:

Same as Residence Address

MAR - 8 2007.

Eighth Named Inventor's Full Name:

Yung-Kun Chen

Eighth Named Inventor's Signature:

Yung-Kun Chen

Date of Execution of this Document by Eighth Named Inventor:

Date: Sept. 10, 2003

Eighth Named Inventor's Country of Citizenship:

Taiwan, Republic of China

Eighth Named Inventor's Residence Address:

5F, No.6, 141 Lane, Fu-De 1 Road, Hsichih  
Hsiang, Taipei County

Taiwan, Republic of China

Eighth Named Inventor's Post Office Address:

Same as Residence Address

MAR - 8 2007.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jesse James Pastorski et al.  
Serial No.: 10/666,367  
Confirmation No.: 8510  
Filed: September 19, 2003  
For: MULTI-SEGMENTED EMBOSsing APPARATUS AND METHOD

Docket No.: 18715  
Group No.: 1722  
Examiner: Unknown

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JAN 14 2004

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COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

- ☐ Request For Corrected Filing Receipt (Missing Inventor)
- ☐ 3 total pages, including this page

is being transmitted to the United States Patent and Trademark Office via facsimile addressed to:

Commissioner for Patents  
Fax number: 703-872-9306

on: January 14 2004.

Judith M. Anderson

(Type or print name of person transmitting paper)

*Judith M. Anderson*

(Signature of person transmitting paper)

MAR - 8 2007

## PATENT

## In the United States Patent and Trademark Office

In re application of:	Jesse James Pasterski et al.	Group No:	1722
Serial No.:	10/666,387	Examiner:	Unknown
Confirmation No:	8510	Docket	18715
Filed:	September 19, 2003	Date:	January 14, 2004
For:	MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD		

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

☐ incorrectly entered

and/or

☒ omitted

## ERROR IN

1. ☐ Applicant's Name
2. ☐ Applicant's Address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Reference
7. ☒ Other

## CORRECT DATA

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Applicant Yung-Kun Chen missing  
from Filing Receipt dated  
12/12/03.

Address of missing Applicant: 5F,  
No. 6, 141 Lane, Fu-De 1 Road,  
Hsichih Hsiang, Taipei County,  
Taiwan, Republic of China

MAR - 8 2007

## 3. (complete the following applicable item A or B)

- A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due

OR

- B. ☐ At least one of the above corrections is due to Applicant's error and the fee therefor under 37 C.F.R. 1.19(h) of \$25.00 is paid as follows:

- ☐ Enclosed is a check for \$25.00
- ☐ Charge Account No. 11-0875 \$25.00

Enclosed is a duplicate of this request for accounting purposes.

Respectfully submitted,

KIMBERLY-CLARK WORLDWIDE, INC.  
401 North Lake Street  
Neenah, Wisconsin 54956




Paul Y. Yee  
Registration No. 29,460  
Telephone: 920-721-2435

## CERTIFICATE OF FACSMILLE

I, Judith M. Anderson, hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being facsimile transmitted to the U.S. Patent and Trademark Office on January 14, 2004.

By:

  
Judith M. Anderson

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